IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Levine et al.	§
	§ Group Art Unit: Not Assigned
Serial No.: Not Assigned	§
	§ Examiner: Not Assigned
Filed:	§
·	§ Attorney Docket No.: AUS920030488US1
For: Method and Apparatus for Maintaining	§
Performance Monitoring Structures in a	§
Page Table for Use in Monitoring	§ .
Performance of a Computer Program	§

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date:

Respectfully submitted,

Diana L. Roberts

Registration No. 36,654

IBM Corporation

Intellectual Property Law

11400 Burnet Road

Austin, Texas 78757

(512) 823-9667

Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)				ATTORNEY DOCKET NO. AUS920030488US1	SERIAL NO. Not Assigned	i		
				APPLICANT Levine et al.				
				FILING DATE	GROUP ART UNI	T Not Assigned		
			U	S. PATENT DOCUMENTS				
EXAMINER INITIAL	NO. DATE		DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE		
	AA	5,103,394	Apr. 7, 1992	Blasciak	395/575	Dec. 21, 1989		
	AB	6,330,662 B1	Dec. 11, 2001	Patel et al.	712/236	Feb. 23, 1999		
	AC	6,480,938 B2	Nov. 12, 2002	Vondran, Jr.	711/125	Dec. 15, 2000		
	AD	6,430,741 B1	Aug. 6, 2002	Mattson, Jr. et al.	717/154	Feb. 26, 1999		
	AE	6,189,141 B1	Feb. 13, 2001	Benitez et al.	717/4	May 4, 1998		
	AF	5,930,508	Jul. 27, 1999	Faraboschi et al.	395/706	Jun. 9, 1997		
	AG	6,351,844 B1	Feb. 26, 2002	Bala	717/4	Nov. 5, 1998		
	АН	6,324,689 B1	Nov. 27, 2001	Lowney et al.	717/9	Sep. 30, 1998		
	Al	6,442,585 B1	Aug. 27, 2002	Dean et al.	709/102	Nov. 26, 1997		
	AJ	5,774,724	Jun. 30, 1998	Heisch	395/704	Nov. 20, 1995		
	AK	5,987,250	Nov. 16, 1999	Subrahmanyam	395/704	Aug. 21, 1997		
	AL	6,192,513 B1	Feb. 20, 2001	Subrahmanyam	717/5	Nov. 2, 1998		
	AM	5,691,920	Nov. 25, 1997	Levine et al.	364/551.01	Oct. 2, 1995		
	AN	6,223,338 B1	Apr. 24, 2001	Smolders	717/4	Sep. 30, 1998		
	AO	6,101,524	Aug. 8, 2000	Choi et al.	709/102	Oct. 23, 1997		
	AP	6,256,775 B1	Jul. 3, 2001	Flynn	717/4	Dec. 11, 1997		
	AQ	6,446,029 B1	Sep. 3, 2002	Davidson et al.	702/186	Jun. 30, 1999		
	AR	6,134,676	Oct. 17, 2000	VanHuben et al.	714/39	Apr. 30, 1998		
	AS	5,937,437	Aug. 10, 1999	Roth et al.	711/202	Oct. 28, 1996		
	AT	6,243,804 B1	Jun. 5, 2001	Cheng	712/228	Jul. 22, 1998		
	AU	4,291,371	Sep. 22, 1981	Holtey	364/200	Jan. 2, 1979		
	AV	5,938,778	Aug. 17, 1999	John, Jr. et al.	714/45	Nov. 10, 1997		
	AW	6,286,132 B1	Sep. 4, 2001	Tanaka et al.	717/4	Jan. 7, 1999		
	AX	6,206,584 B1	Mar. 27, 2001	Hastings	395/183.11	May 31, 1995		
_	AY	6,374,364 B1	Apr. 16, 2002	McElroy et al.	714/10	Jan. 19, 1999		
	AZ	6,070,009	May 30, 2000	Dean et al.	395/704	Nov. 26, 1997		
	ВА	5,966,537	Oct. 12, 1999	Ravichandran	395/709	May 28, 1997		
DATE CONSIDERED		EXAMINER	A					
YAMINER: I	nitial if	reference considered	whether or not citat	ion is in conformance with MPEP \$ 609; draw	line through citation if not	in conformance and		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)				ATTORNEY DOCKET NO. AUS920030488US1	SERIAL NO. Not Assigned					
				APPLICANT DeWitt, Jr. et al.						
				FILING DATE	GROUP ART UNIT	T Not Assigned				
			U	S. PATENT DOCUMENTS						
EXAMINER INITIAL				INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE				
	ВВ	2002/0129309	Sep. 12, 2002	Floyd et al.	714/724	Dec. 18, 2000				
	ВС	2001/0032305	Oct. 18, 2001	Barry	712/34	Feb. 23, 2001				
	BD	2002/0199179	Dec. 26, 2002	Lavery et al.	717/158	Jun. 21, 2001				
	BE	2002/0124237	Sep. 5, 2002	Sprunt et al.	717/127	Dec. 29, 2000				
	BF	2002/0147965	Oct. 10, 2002	Swaine et al.	717/124	Feb. 1, 2001				
	BG	2002/0019976	Feb. 14, 2002	Patel et al.	717/137	May 25,	2001			
			FOR	EIGN PATENT DOCUMENTS			* **			
EXAMINER INITIAL				COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO				
	ВН	JP2000029731	Dec. 8, 1999	Japan	G06F 9/38		X			
	ВІ	JP2000347863	Dec. 15, 2000	Japan '	G06F 9/38		X			
OTHER PRIOR ART (including author, title, date, pertinent page, etc.)										
BJ Kikuchi, "Parallelization Assist System", Joho Shori, Vol. 34, No. 9, Sept. 1993, pp. 1158-1169.										
	ВК	Cohen et al., "Hardware-Assisted Characterization of NAS Benchmarks", Cluster Computing, Vol. 4, No. 3, July 2001, pp. 189-196.								
	BL	Talla et al., "Evaluating Signal Processing and Multimedia Applications on SIMD, VLIW and Super Scalar Architectures", International Conference on Computer Design, Austin, Sept. 17-20, 2000, pp. 163-172.								
		Iwasawa et al., "Parallelization Method of Fortran DO Loops by Parallelizing Assist System",								
	BN	Transactions of Information Processings Society of Japan, Vol. 36, No. 8, Aug. 1995, pp. 1995-2006. Talla et al., "Execution Characteristics of Multimedia Applications on a Pentium II Processor", IEEE International Performance, Computing, and Communications Conference, 19 th , Phoenix, Feb. 20-22, 2000, pp. 516-524.								
		ineasurement is		TED PATENT APPLICATIONS	2001, pp. 000-0	50.				
EXAMINER		APPLICATION NO./	APPLICANT	TITLE		FILI				
INITIAL		ATTY, DOCKET NO.				DA				
		09/435,069 AT9-99-491	Davidson et al.	Method and Apparatus for Instruction Performance Monitoring and Debug	Sampling for	Nov. 4,	1999			
	_	08/538,071 AUT919950055US1	Gover et al.	Method and System for Selecting and an Event Sequence using an Effective Processing System		Oct. 2, 1	995			
				EXAMINER						

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.